IBM DOCKET NO. RSW920010048US1

**DATE: January 31, 2005** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**Certificate of Mailing or Transmission** 

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Leslie A. Van Leeuwen

Date'

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barker, et al.

For: System and Method for Converting Management Models to Specific Console Interfaces

Enclosed are:

X Supplemental Information Disclosure Statement;

X Modified form 1449 listing 8 references;

X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

X This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. <u>09-0461</u> the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

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Washington, D.C. 20231

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit:

Barker, et. al. : Intellectual Property Law Department

Serial No.: 10/047,792 : International Business Filed: January 14, 2002 : Machines Corporation

Title: System and Method for Converting : Software Group IP Law -- T81/503

Management Models to Specific : 3039 Cornwallis Road

**Console Interfaces** : Research Triangle Park, NC 27709

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted.

Leslie A. Van Leeuwen

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Attorneys for Applicant Registration No. 42,196

Telephone: (512) 301-6738 Facsimile: (512) 301-6742 In Place of FORM PTO-1449 (Modified)

Serial No. <u>10/047,792</u>

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE **STATEMENT** 

Applicant: Barker, et. al. Filing Date: 1/14/2002 Group:

Atty. Docket No. RSW920010048US1

			U.S. PAT	ENT DOCUMENTS		
Examiner		Document			Classification	Filing Date i
<u>Initial</u>		Number	<u>Date</u>	<u>Name</u>	Class / Subclass	<b>Appropriate</b>
	AA	5,483,631	01-1996	Nagai et al.	715	736
	AB	5,566,294	10-1996	Kojima et al.	715	866
	AC	5,635,918	06-1997	Tett, Richard J.	340	7.29
	AD	5,754,173	05-1998	Hiura et al.	715	744
	AE	5,907,696	05-1999	Stilwell et al.	703	13
	AF	6,317,748	11-2001	Menzies et al.	707	103X
	AG					
	AH					
	ΑI					
	AJ					
	AK					
	AL					
Examiner <u>Initial</u>		Document		ATENT DOCUMENTS  e Country	S Classification	Translation Yes No
	AM	JP 06168197 A	<u>Dat</u> 06-19	<u>•</u>	G06F013/00	Yes (abstract
	AN				0001010,00	100 (0000000
	AO					
	AP					
Examiner Initial	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
	AQ	"WBEM on Sun Developer's Guide," August 1999, Sun Microsystems, Chapters 1, 2, and 4				
	AR					
	AS					
	AT					
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Examiner:		Date Considered:				